

# An Investigation of the Disorder Potential of QPC via Scanning Gate Microscopy and Machine Learning

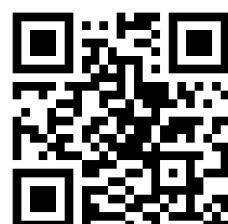
Carlo R. daCunha<sup>1</sup>, David Ferry<sup>2</sup>, Nobuyuki Aoki<sup>3</sup>

<sup>1</sup> School of Informatics, Computing, and Cyber-Systems, Northern Arizona University, Flagstaff, AZ

<sup>2</sup> Center for Solid State Electronics Research, Arizona State University, AZ

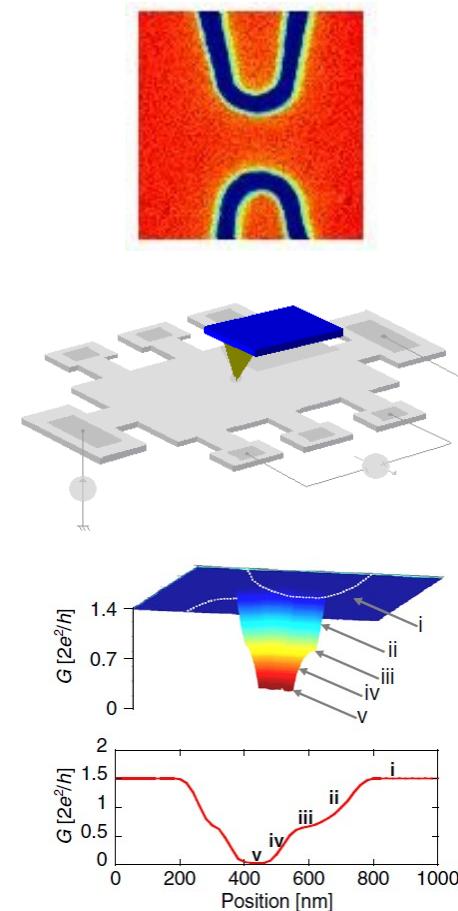
<sup>3</sup> Department of Electronics, Chiba University, Japan

APS March Meeting 2023 - Session F52, Tue. 10 am

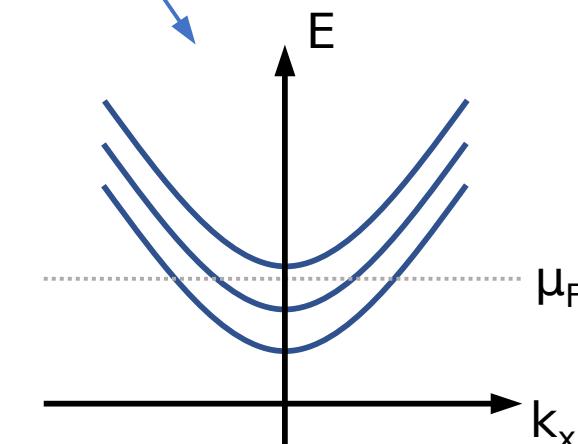
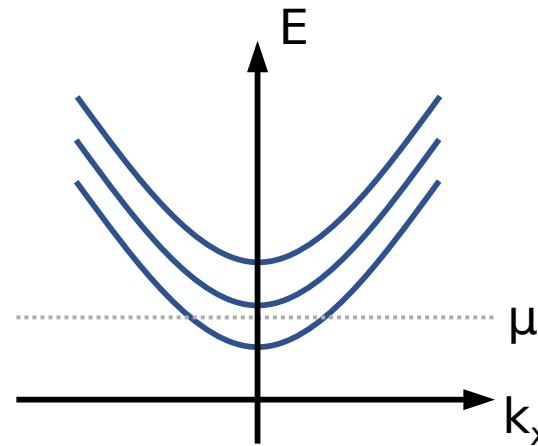
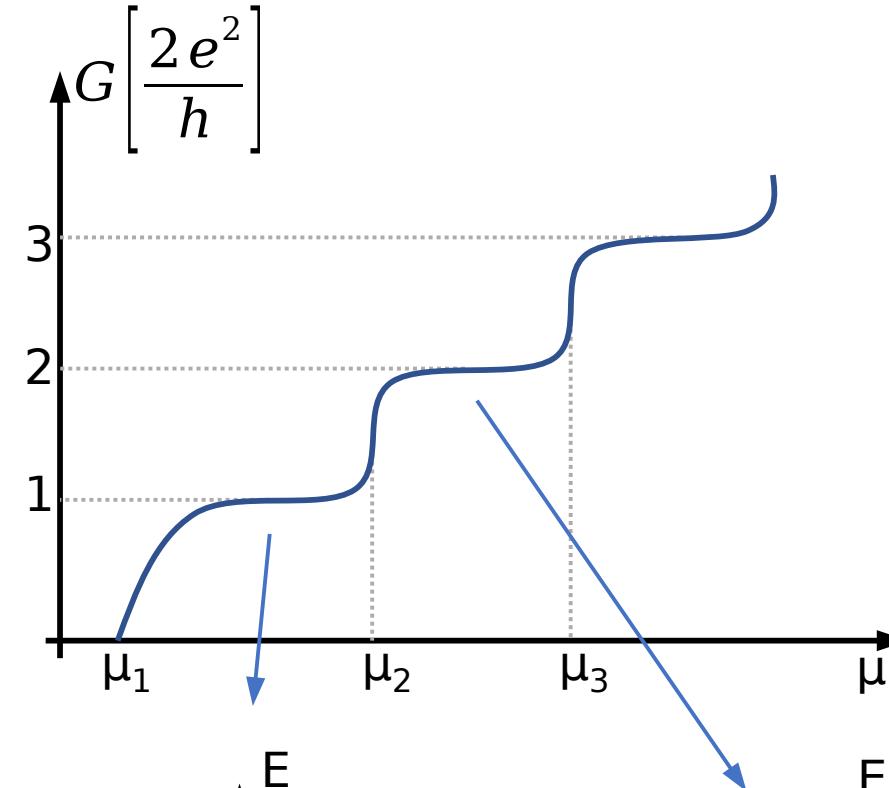
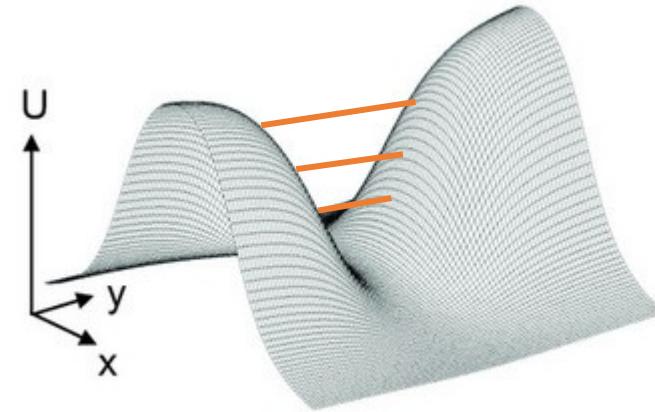
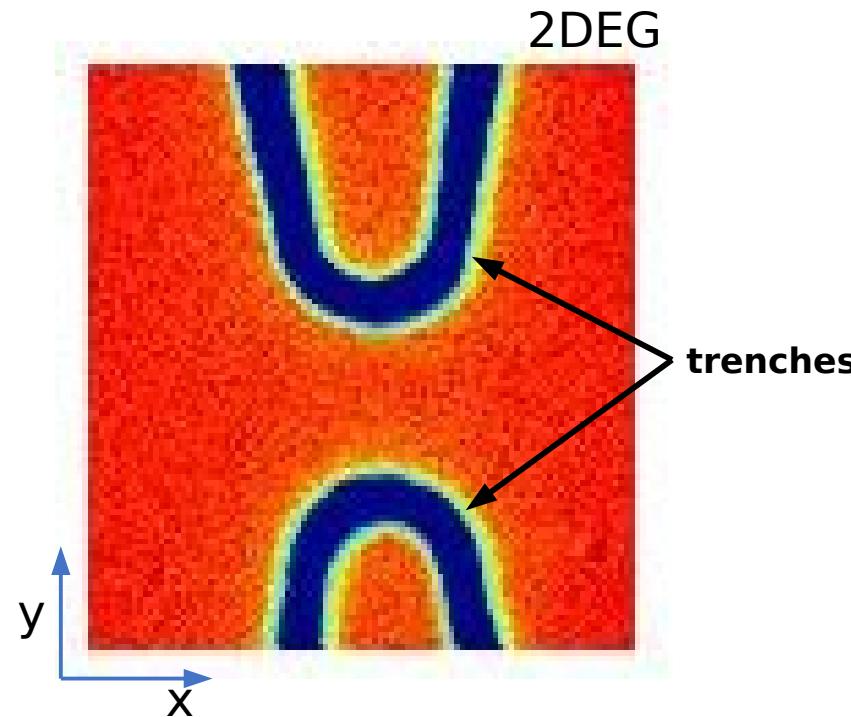


# Structure of the Talk

- Quantum Point Contacts (QPC)
- Scanning Gate Microscopy (SGM)
- Device Fabrication
- Characterization
  - Transmission
  - Shubnikov-de Haas
  - SGM
- Machine Learning
  - Convolutional Neural Nets
  - Cellular Neural Nets
- Swarming Approach
  - Roughness
  - Correlations
- Conclusions



# Quantum Point Contacts



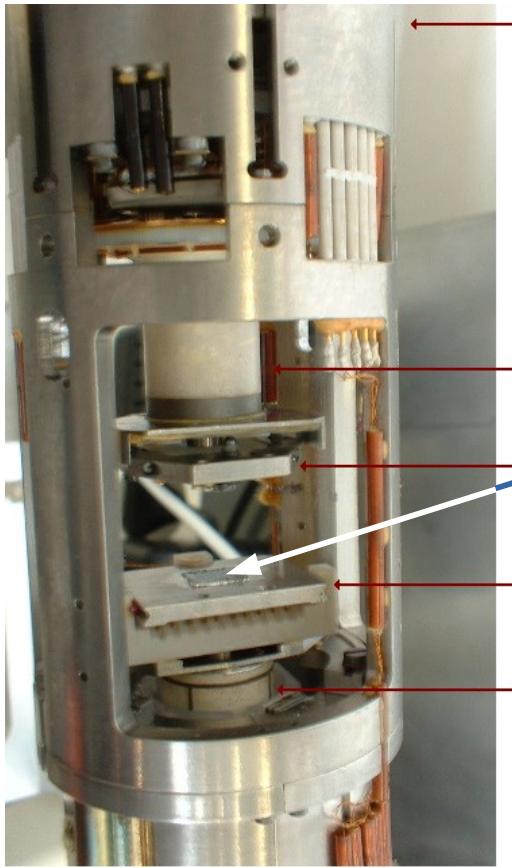
Bart Van Wees  
(U. Groningen - Netherlands)

Session Q54 – Wed. 3 pm

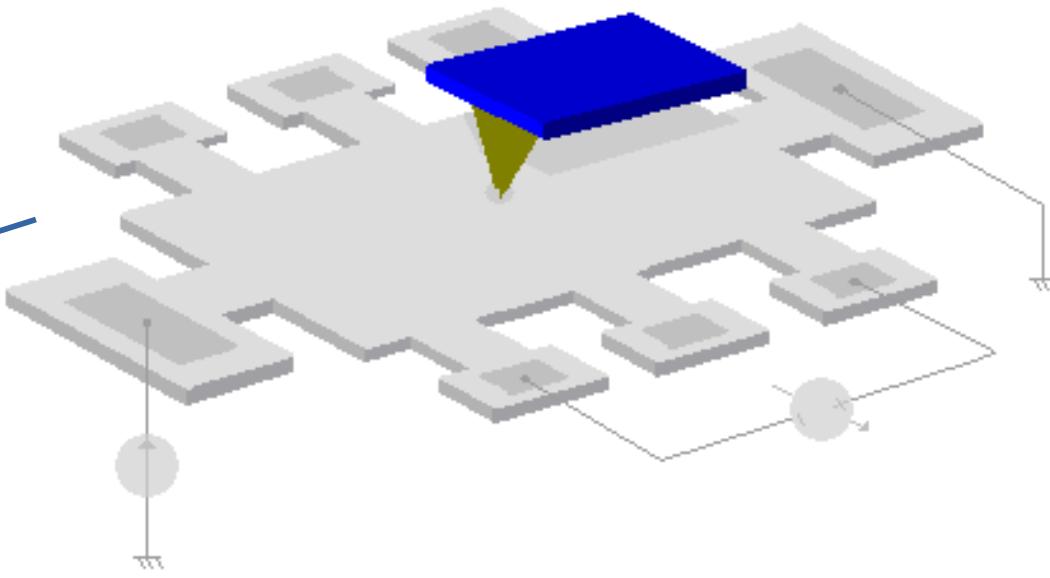
Quantum  
sensor

B.J. van Wees et al. "Quantized conductance of point contacts in a two-dimensional electron gas". *Phys. Rev. Lett.* **60**-9 (1988) 848–850.

# Scanning Gate Microscopy



$$V = V_0 \delta(\mathbf{r} - \mathbf{r}_0)$$



$$E_n \approx E_n^0 + \langle n | V | n \rangle$$

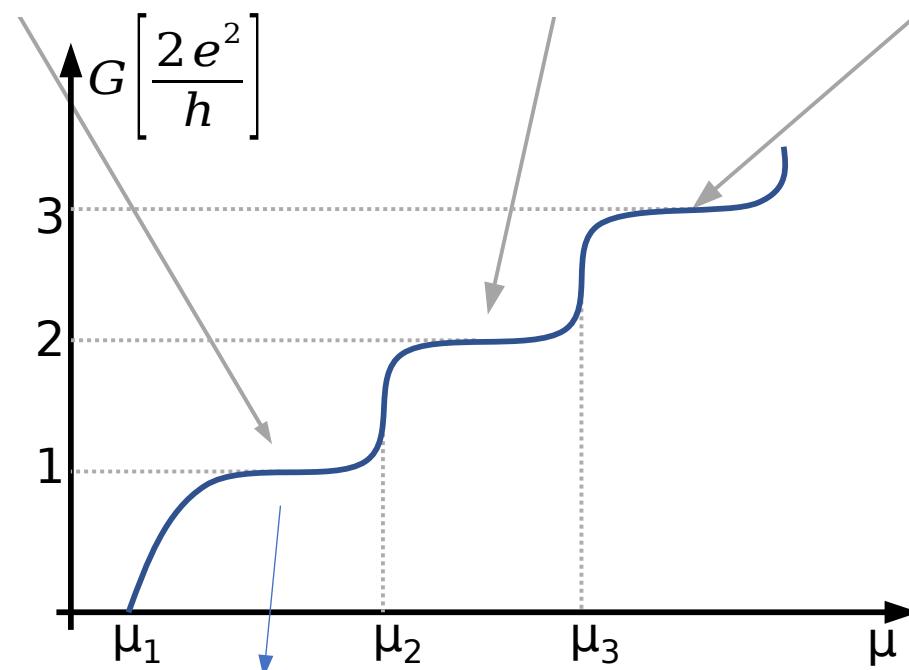
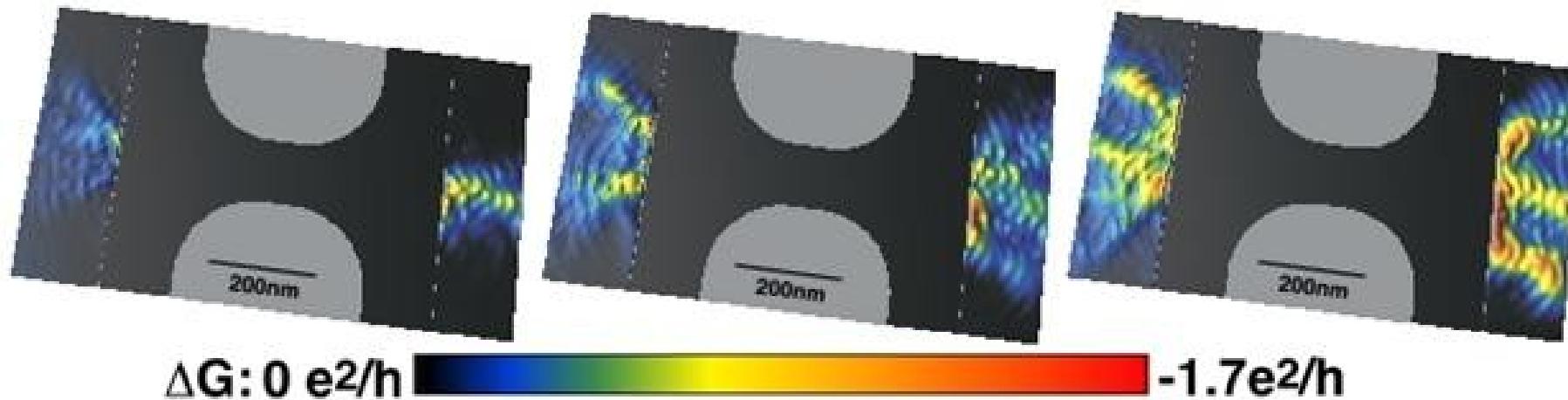
$$\Delta E_n \approx V_0 |\varphi_n(\mathbf{r}_0)|^2$$

Shifts proportional to LDOS

$$\Delta G(\mathbf{r}_0) \approx \frac{\partial G}{\partial E_F} \Delta E_n$$

$$\Delta G(\mathbf{r}_0) \approx V_0 \frac{\partial G}{\partial E_F} |\varphi_n(\mathbf{r}_n)|^2$$

# Electron Flow



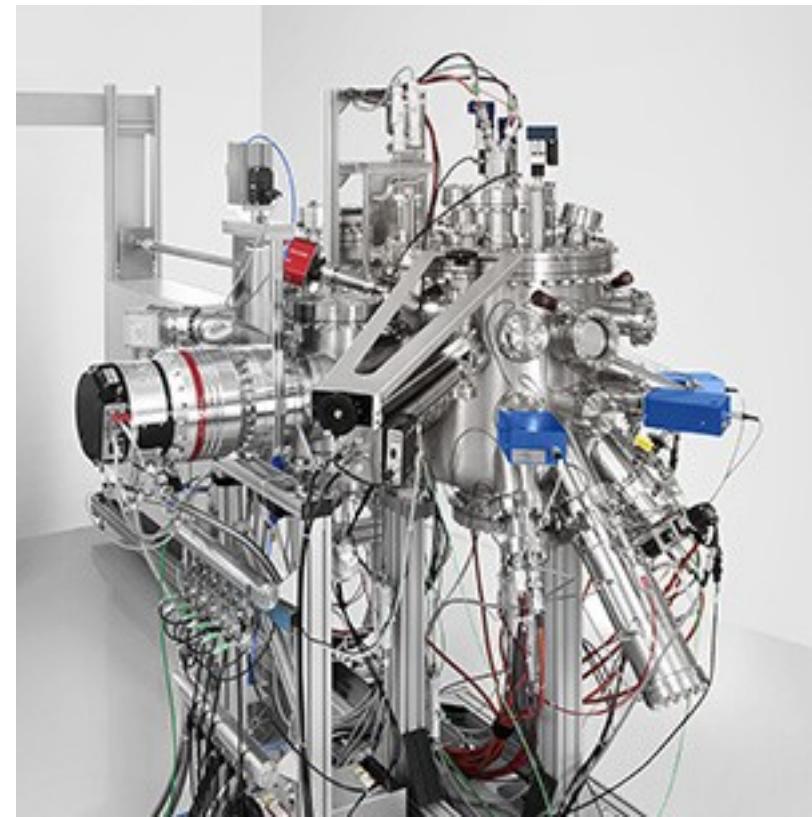
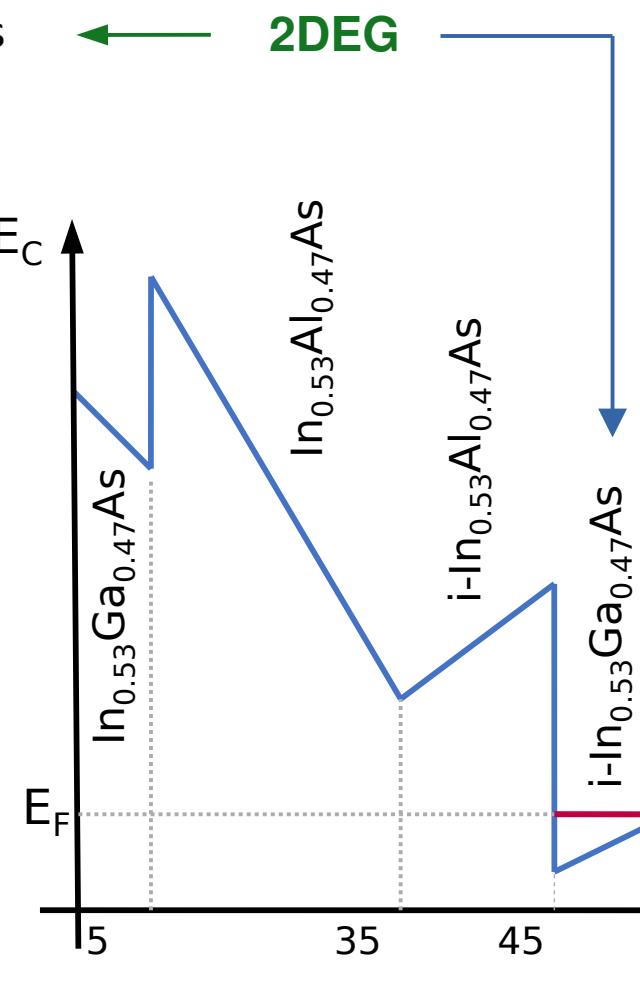
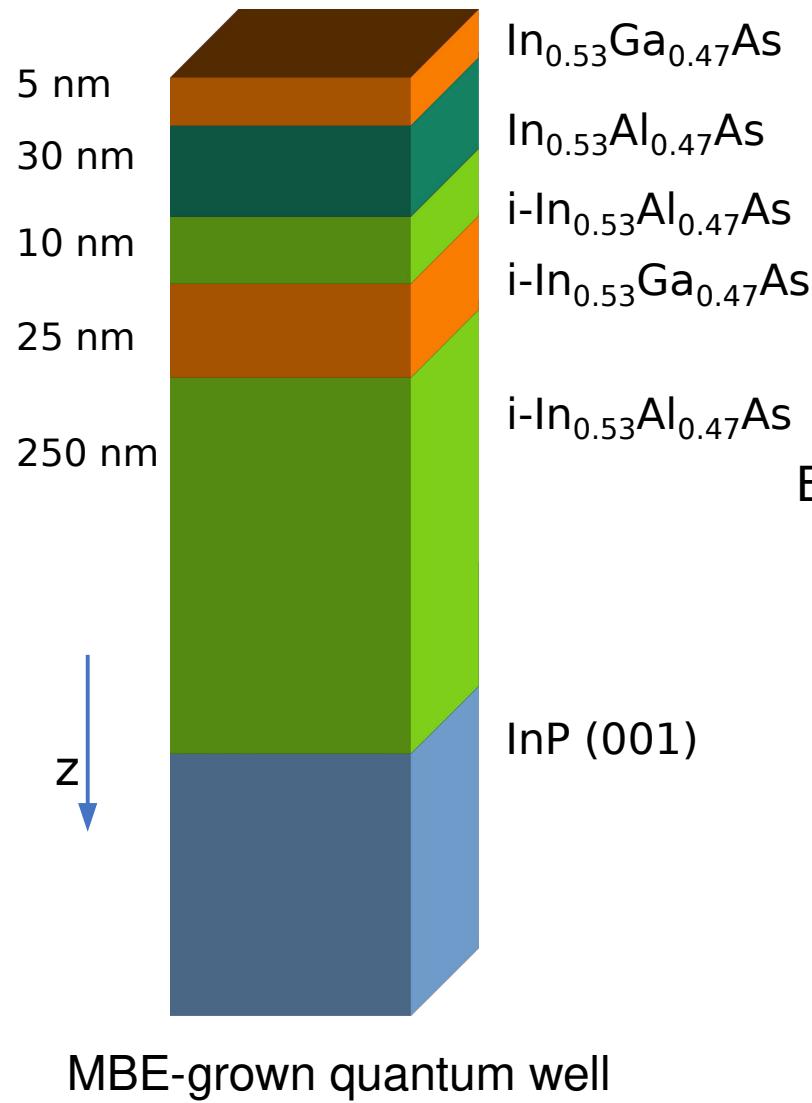
R. Westervelt  
(Harvard)

Session N29  
Wed. 11:30 am

M. A. Topinka, B. J. LeRoy, S. E. J. Shaw, E. J. Heller, R. M. Westervelt, K. D. Maranowski, A. C. Gossard, "Imaging Coherent Electron Flow from a Quantum Point Contact", *Science* **289** (2000) 2323.

# Device Fabrication

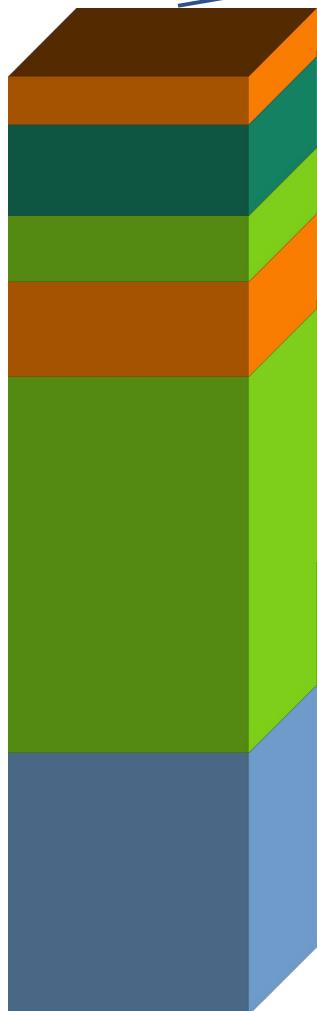
## Heterostructure



Hall bar

5 nm  
30 nm  
10 nm  
25 nm  
250 nm

z

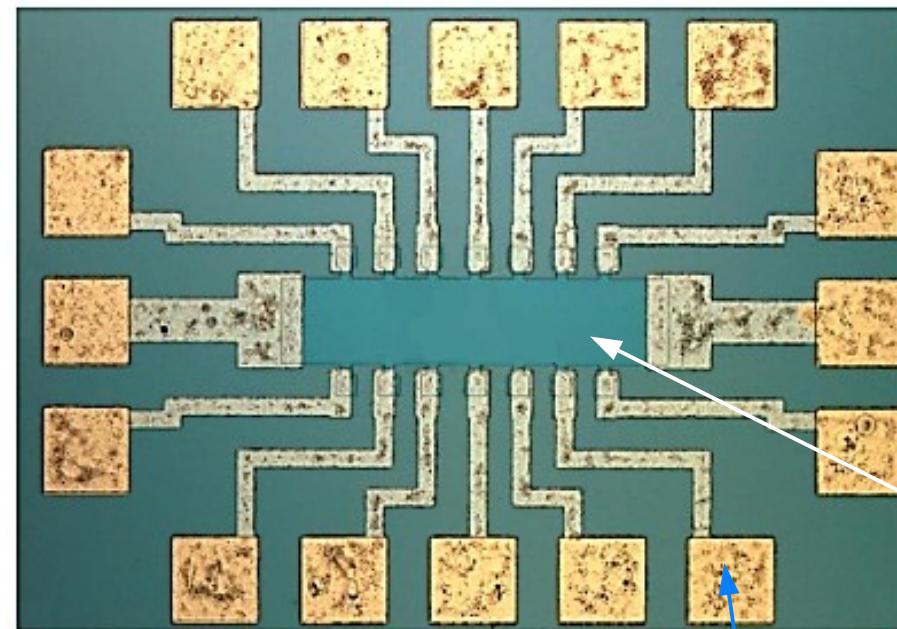


MBE-grown quantum well

In<sub>0.53</sub>Ga<sub>0.47</sub>As

In<sub>0.53</sub>Al<sub>0.47</sub>As

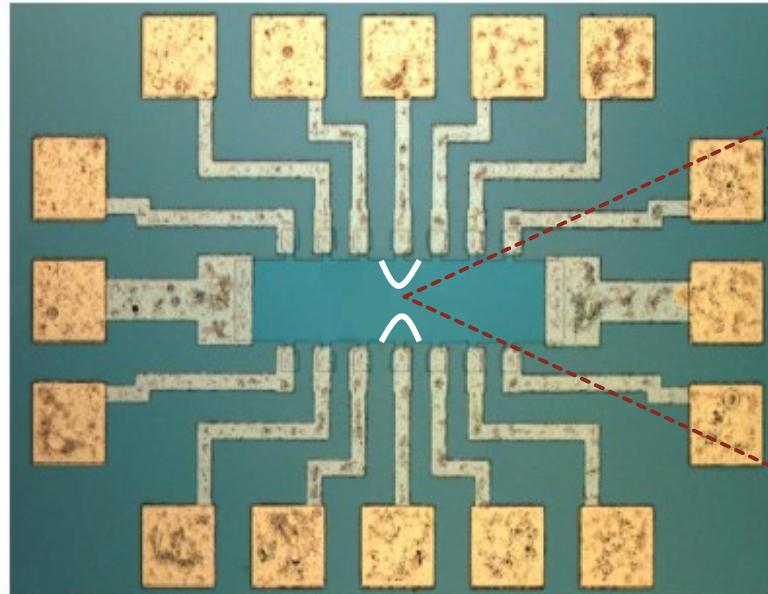
InP (001)



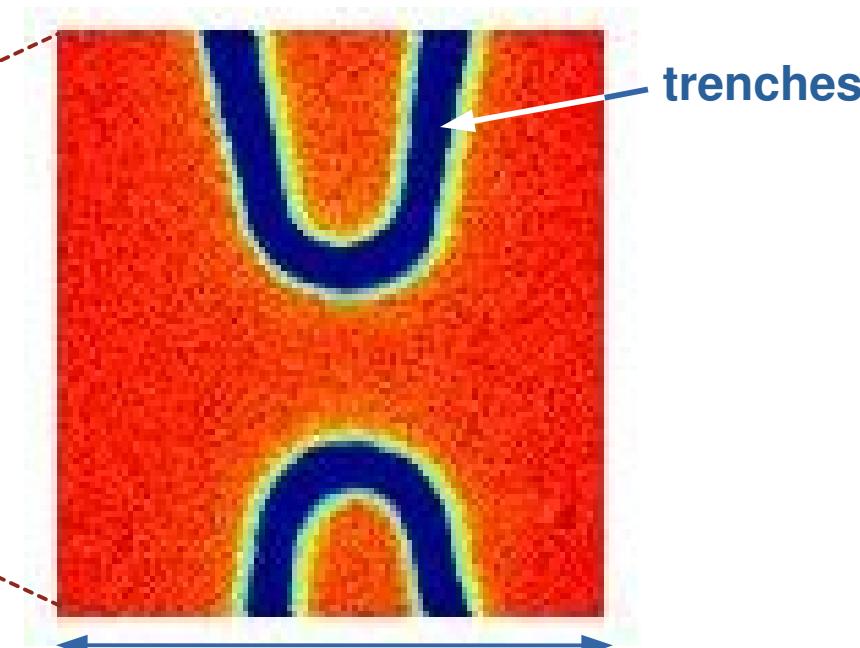
Mesa structure

Gold pads

## EBL-Defined QPC



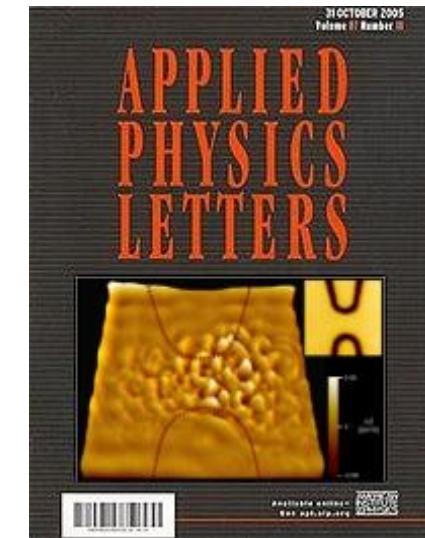
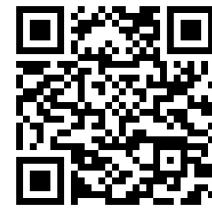
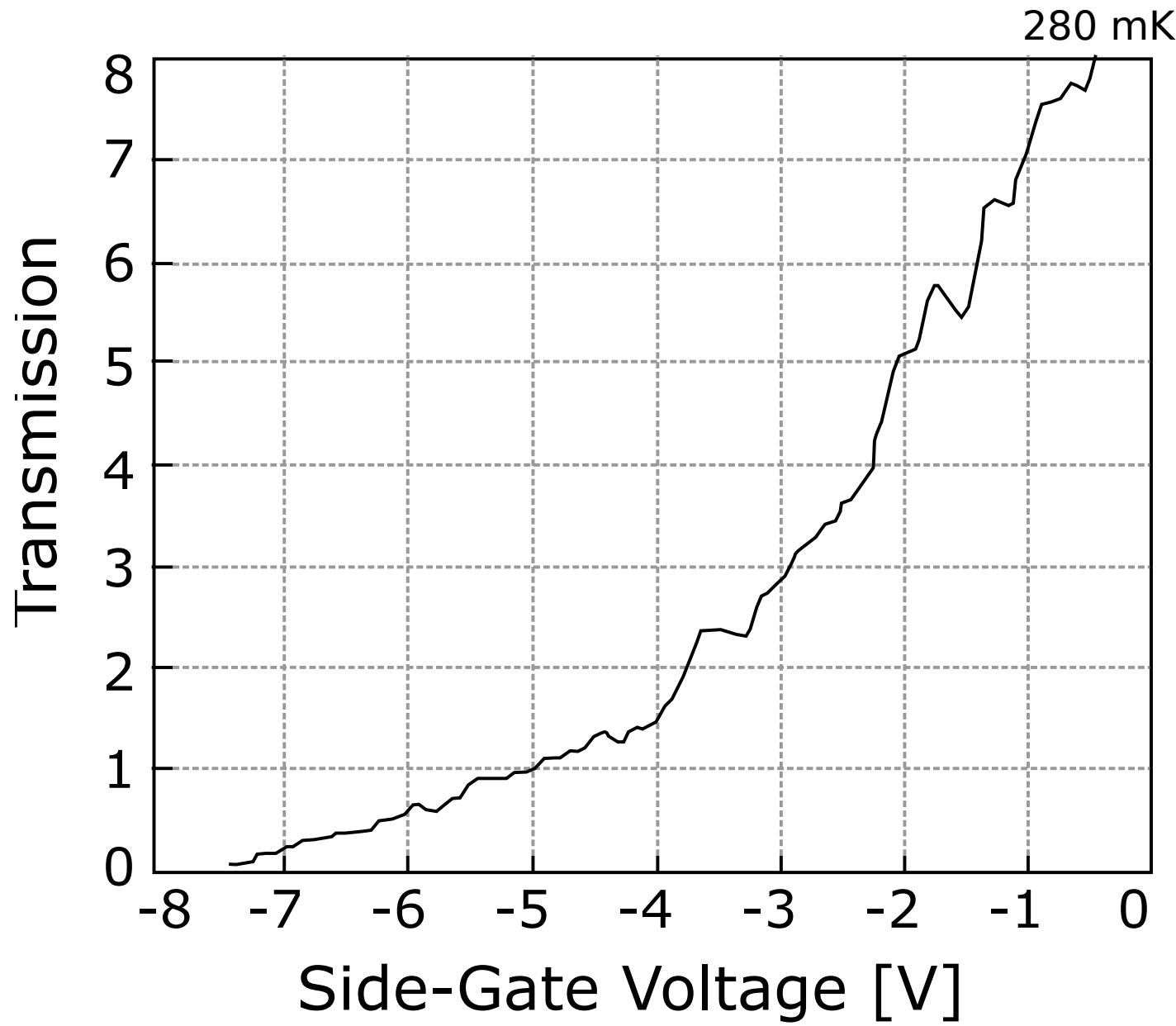
Electron beam lithography



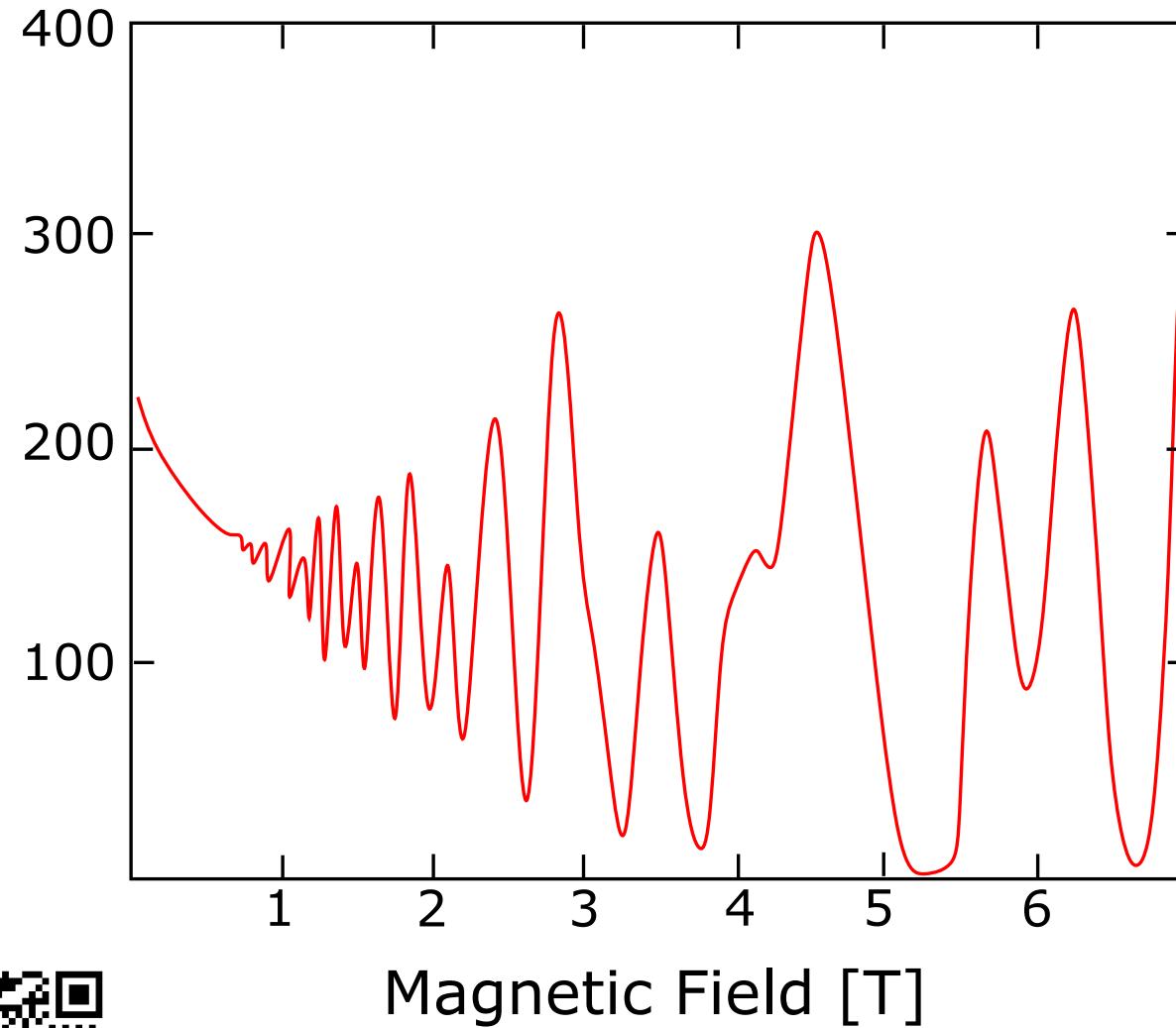
# Characterization

- Shubnikov de-Haas
- Quantum Hall
- Quantum Chaos

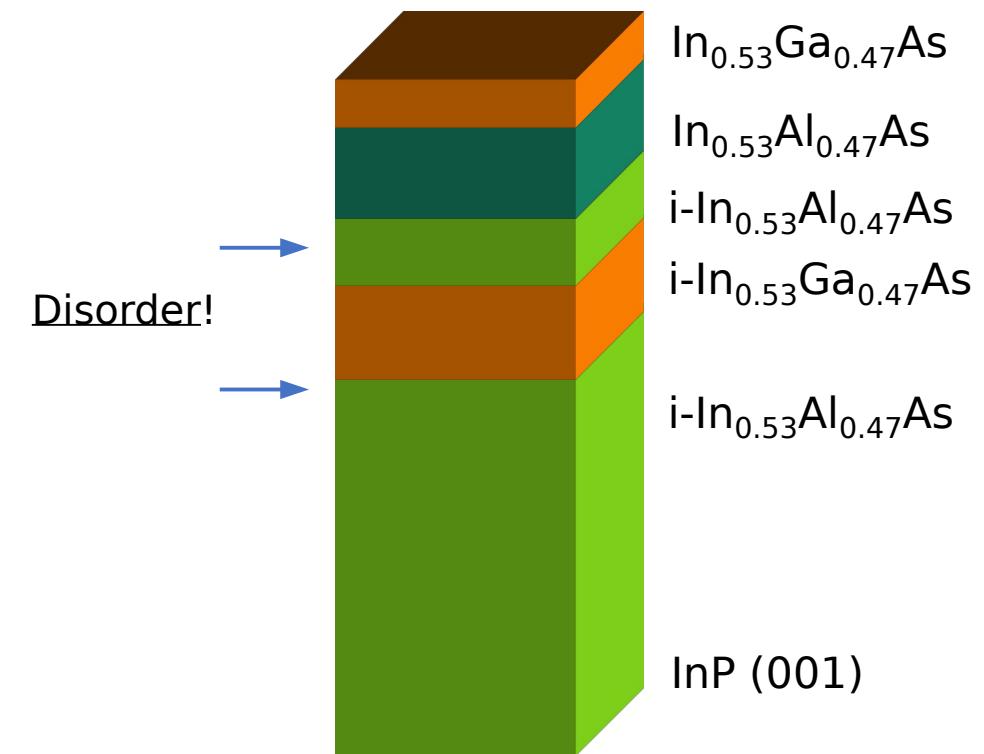
# Transmission



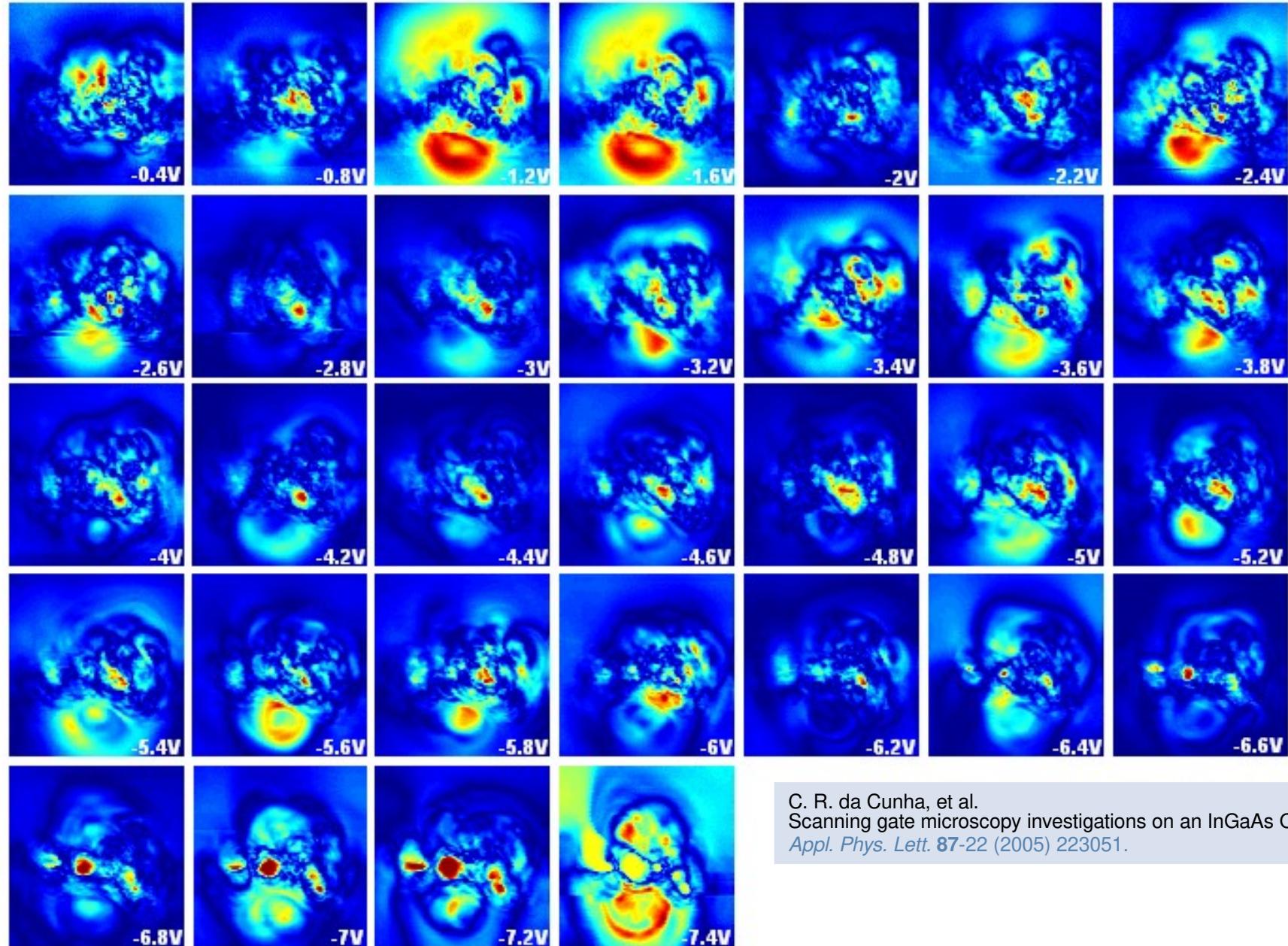
C. R. da Cunha, et al.  
Imaging of quantum interference patterns within a quantum  
point contact  
*Appl. Phys. Lett.* **89-24** (2006) 242109.



$n = 7.2 \times 10^{11} \text{ cm}^{-2}, 2.1 \times 10^{11} \text{ cm}^{-2}$   
 $\mu = 7.4 \times 10^4 \text{ cm}^2/\text{V.s}$   
 $l = 1.2 \mu\text{m}$



# Scanning Gate Microscopy



C. R. da Cunha, et al.  
Scanning gate microscopy investigations on an InGaAs QPC  
*Appl. Phys. Lett.* **87-22** (2005) 223051.



IF {

1. The perturbation is sufficiently small;
2. The induced potential is delta-shaped
3. Wave function is given solely by states at the Fermi energy;
4. The conductance does not change much with Fermi energy (plateau);

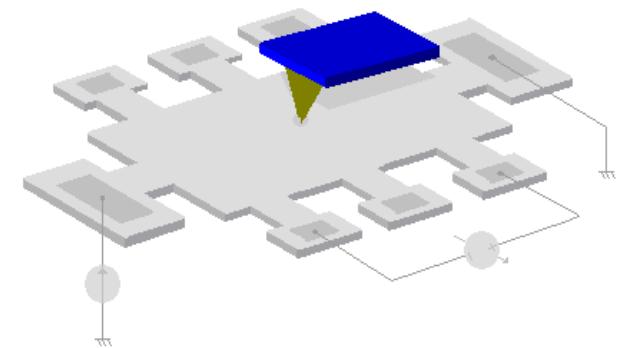
}

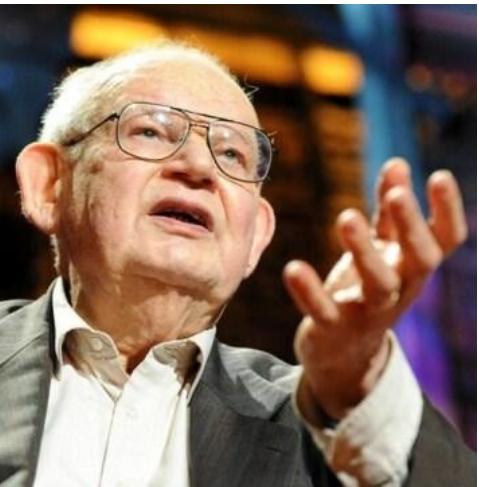
Then {

Changes in conductance ( $\Delta G$ )  $\propto$  local density of states (LDOS).

}

$$\Delta G(\mathbf{r}_0) \approx V_0 \frac{\partial G}{\partial E_F} |\varphi_n(\mathbf{r}_n)|^2$$





B. Mandelbrot  
(1924 – 2010)

# But...

Clouds are not spheres, mountains are not cones, coastlines are not circles, barks are not smooth, lighting does not travel in a straight line,...

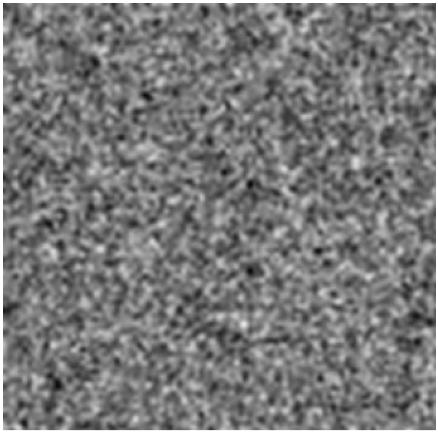
...  $\Delta G$  is not small, the tip potential is not delta-shaped, not all states are in the Fermi level, and the conductance changes considerably with the Fermi level.



# Machine Learning

- Shubnikov de-Haas
- Quantum Hall
- Quantum Chaos

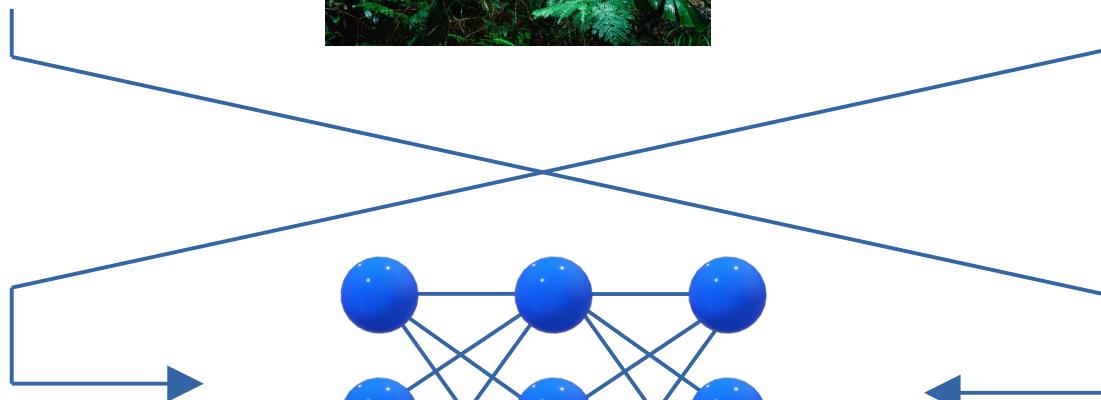
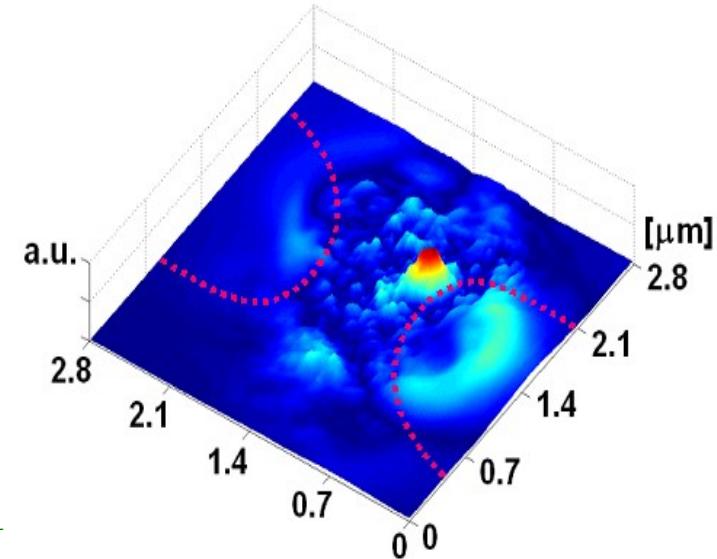
# Inverse Problem



Random Potentials



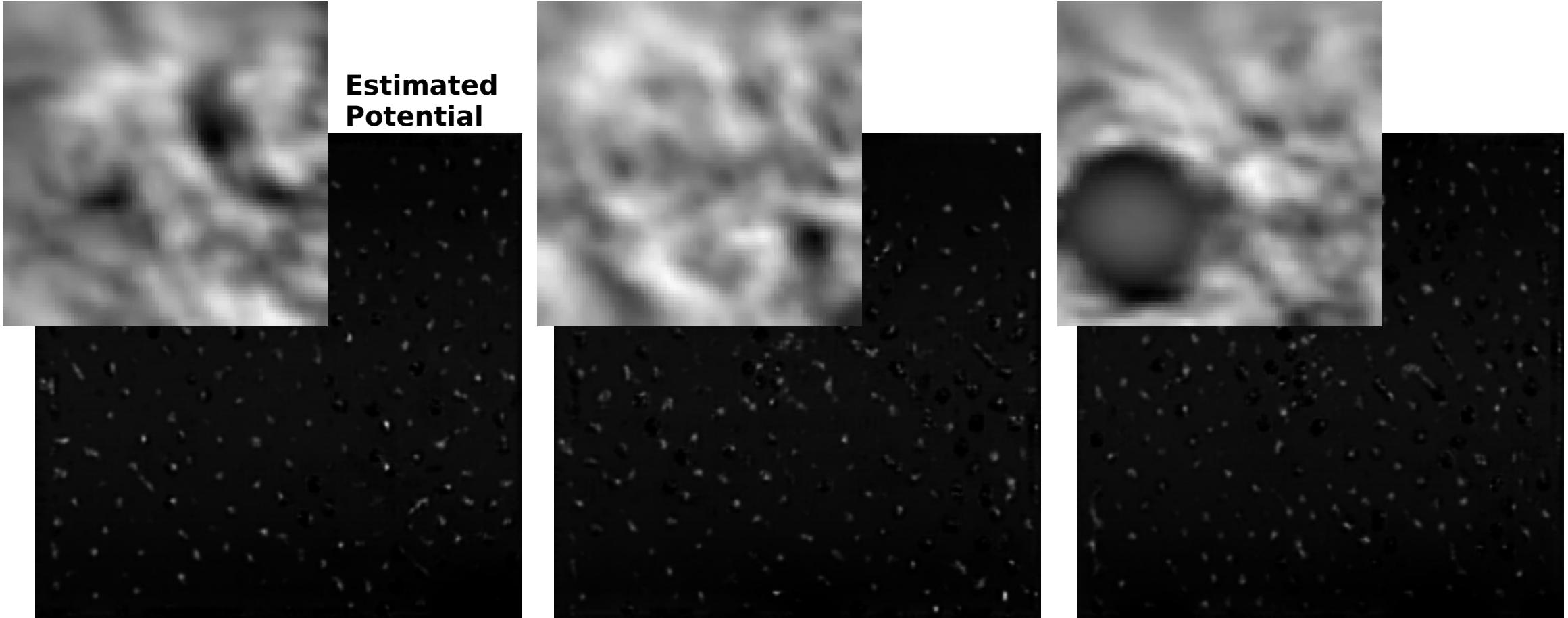
$$G_{nn}^0(E) = [(E + i\eta)I - H_n]^{-1}$$



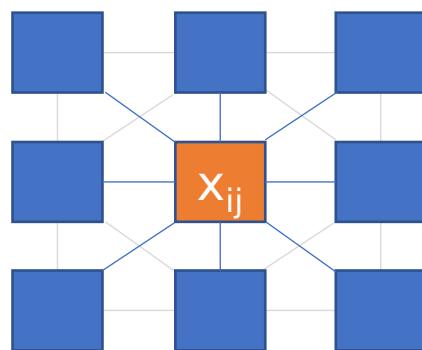
Nonlinear array of elements

## Results

### SGM



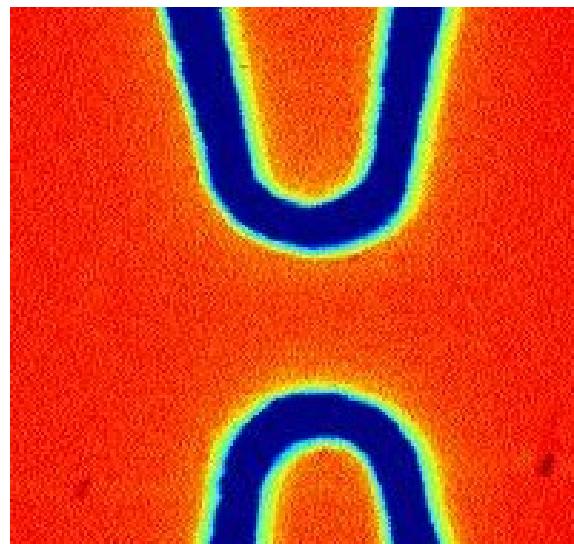
Slow processing limits the number of training samples!



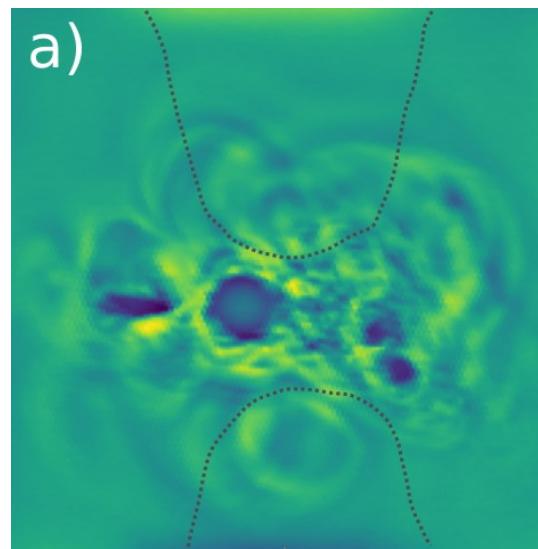
$$\frac{dX_{i,j}(t)}{dt} = -X_{i,j}(t) + \sum_{k,l} A_{i,j,k,l} Y_{k,l}(t) + \sum_{k,l} B_{i,j,k,l} U_{k,l}(t) + Z$$

↑                      ↑                      ↑  
state                  output                  input

Theoretical Potential

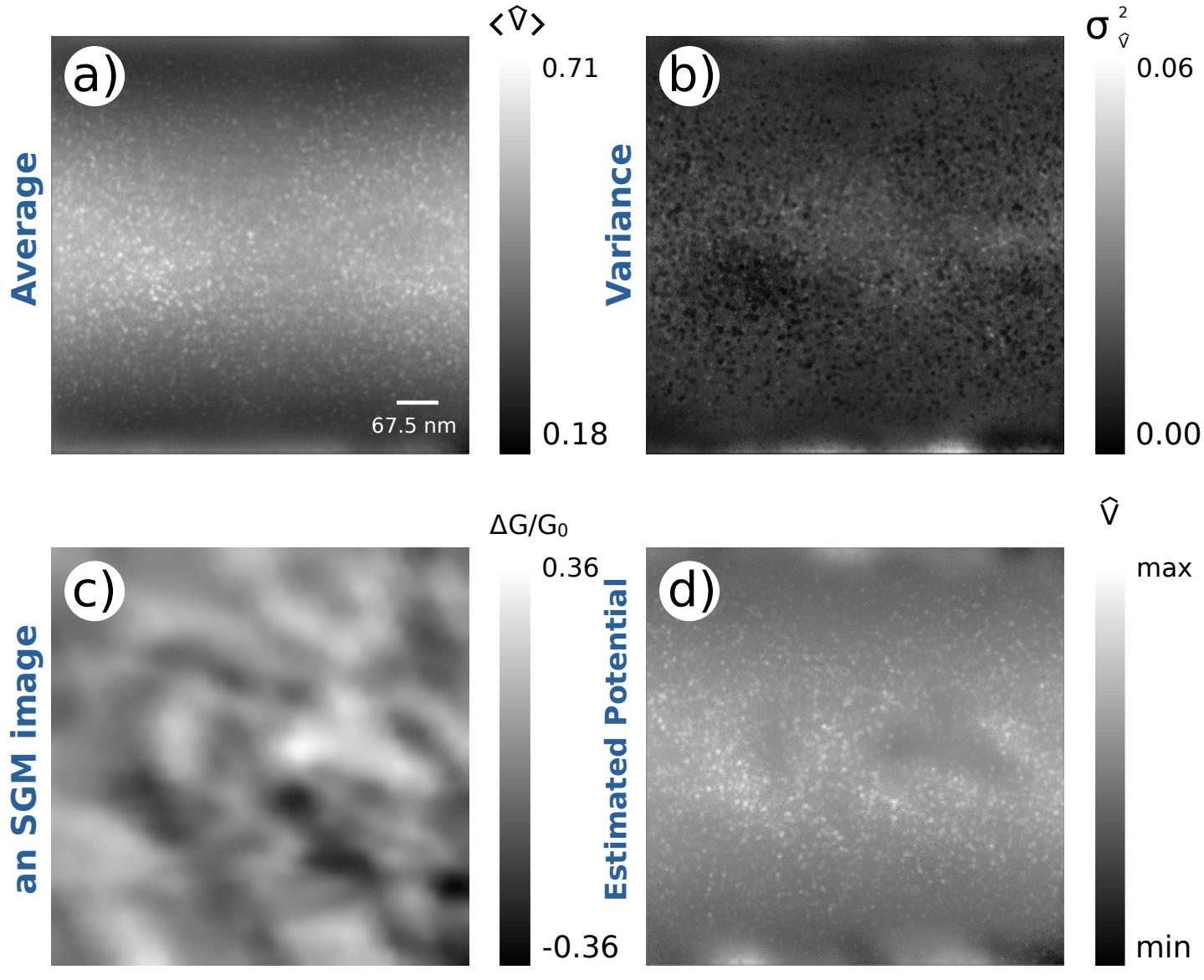


Theoretical LDOS



10k pairs with  
random Gaussian  
noise.

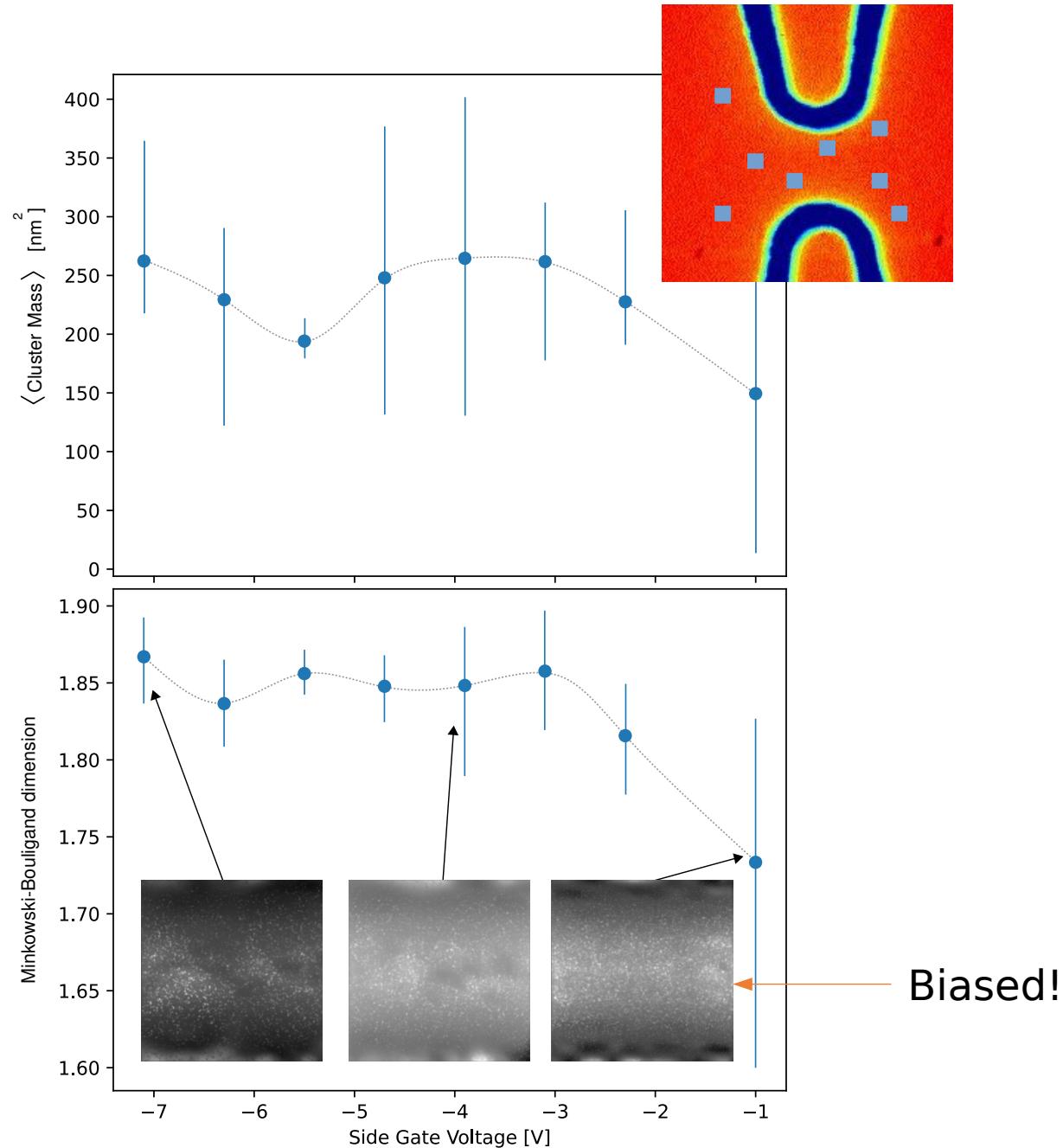
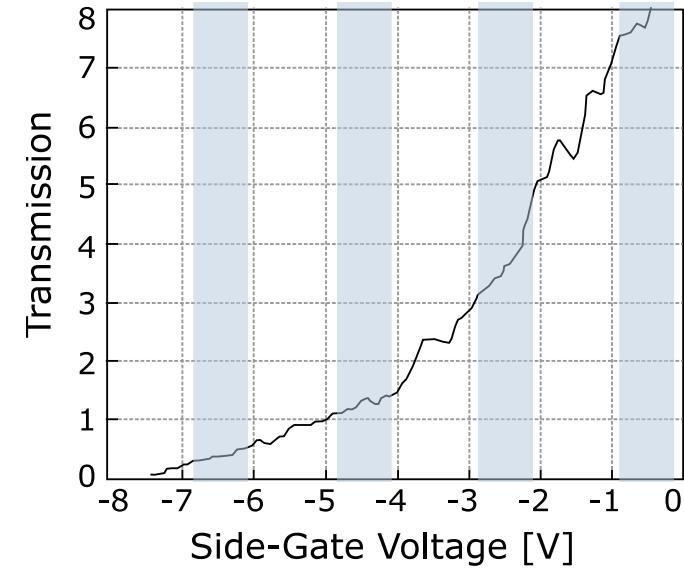
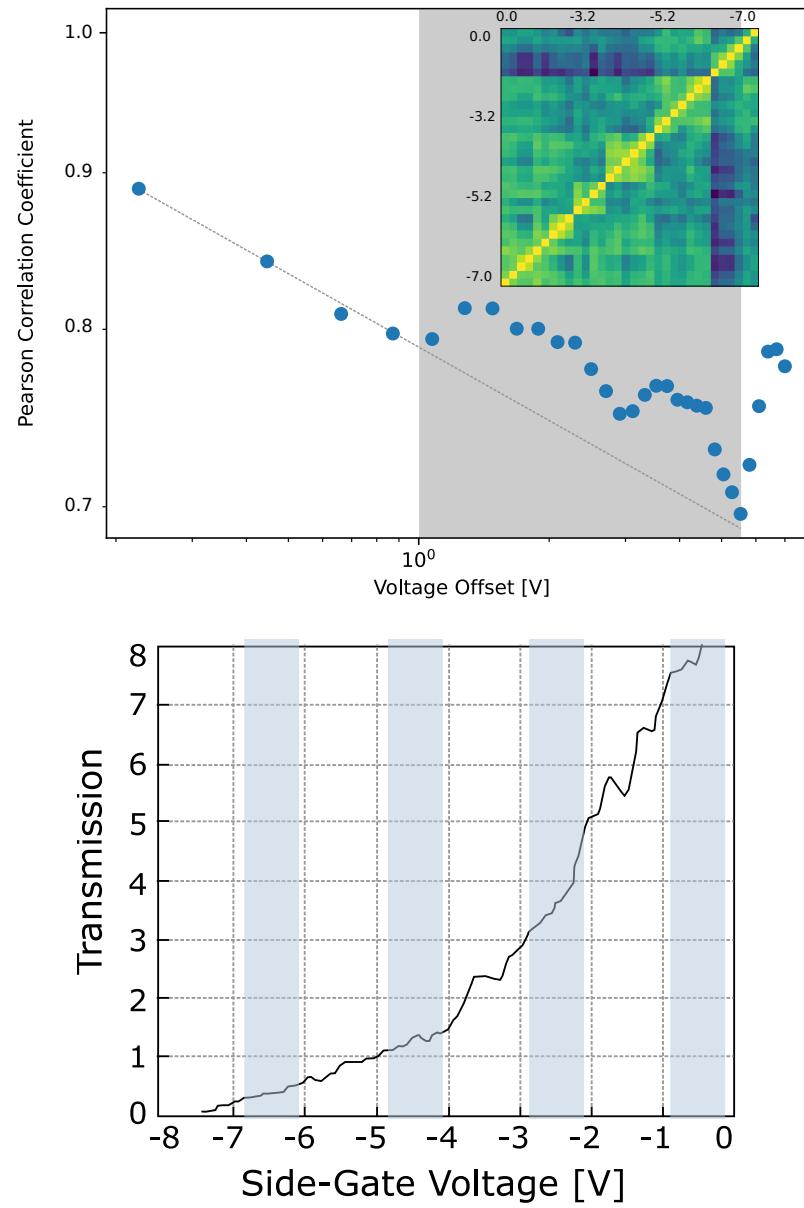
## Results



C. R. da Cunha, et al. A method for finding the background potential of quantum devices from scanning gate microscopy data using machine learning, *Mach. Learn. Sci. Tech.* **3** (2022) 025013.



## Statistics



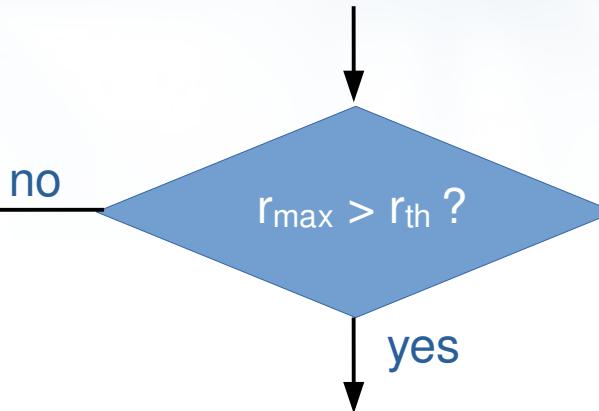
## Swarming Approach

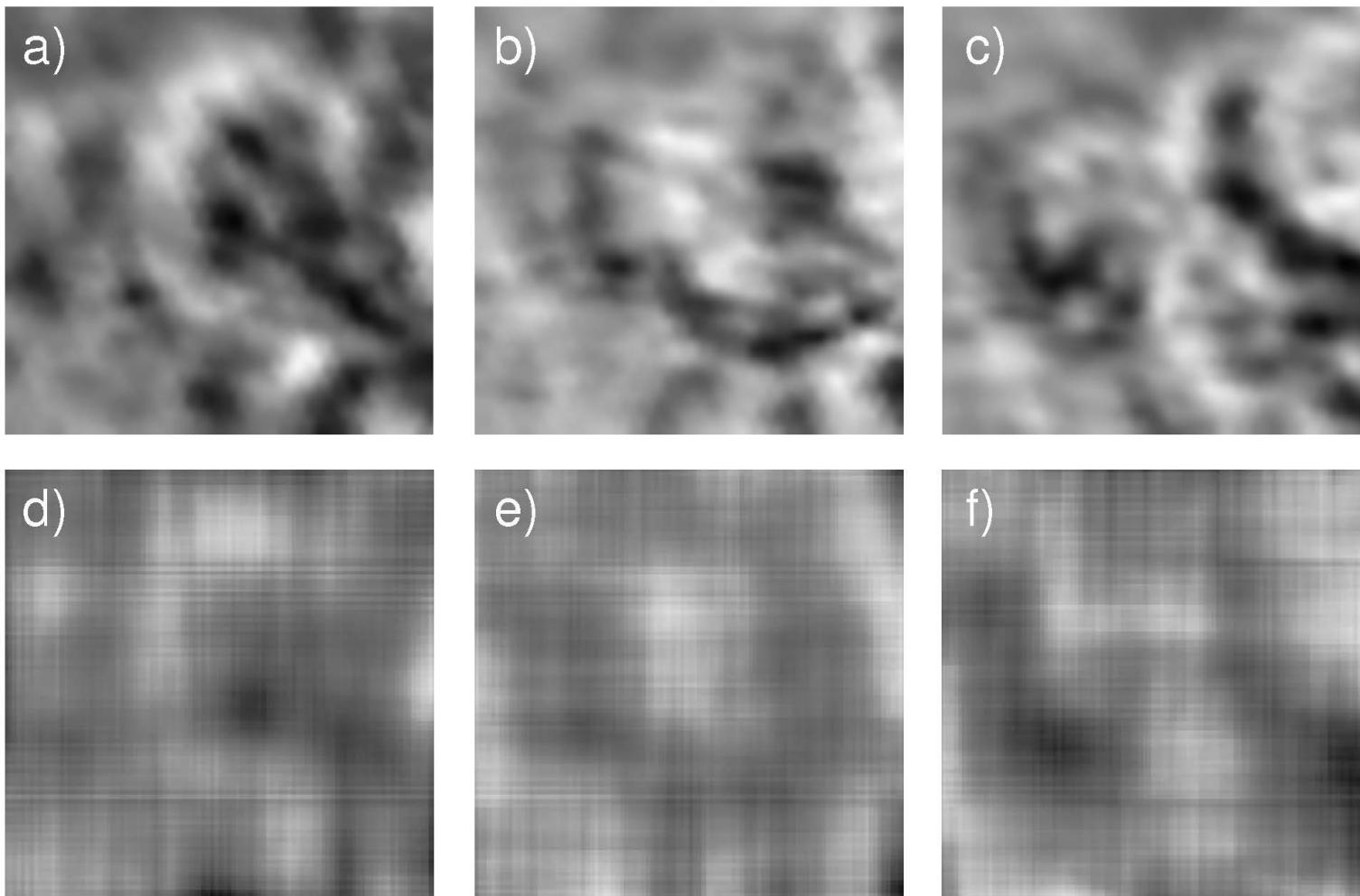


- Generate random population (potentials  $\mu$ );
- Evaluate LDOS' via **Green's functions**;
- Get rewards  $r$  for all individuals (correlation with expected LDOS);
- Relax all individuals towards the one with the highest reward and add noise:

$$\frac{d \mu(r, t)}{dt} = -\frac{\mu(r, t) - \mu_{max}}{\tau} + \theta(r) \eta \rightarrow \text{Gaussian noise}$$

$\mu_0 e^{-\gamma r}$  High rewards, less noise



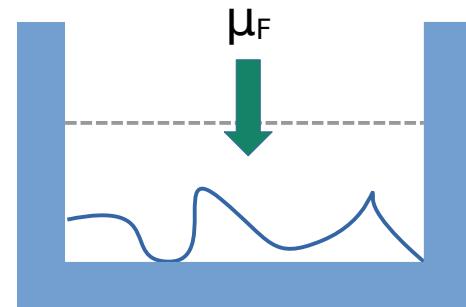
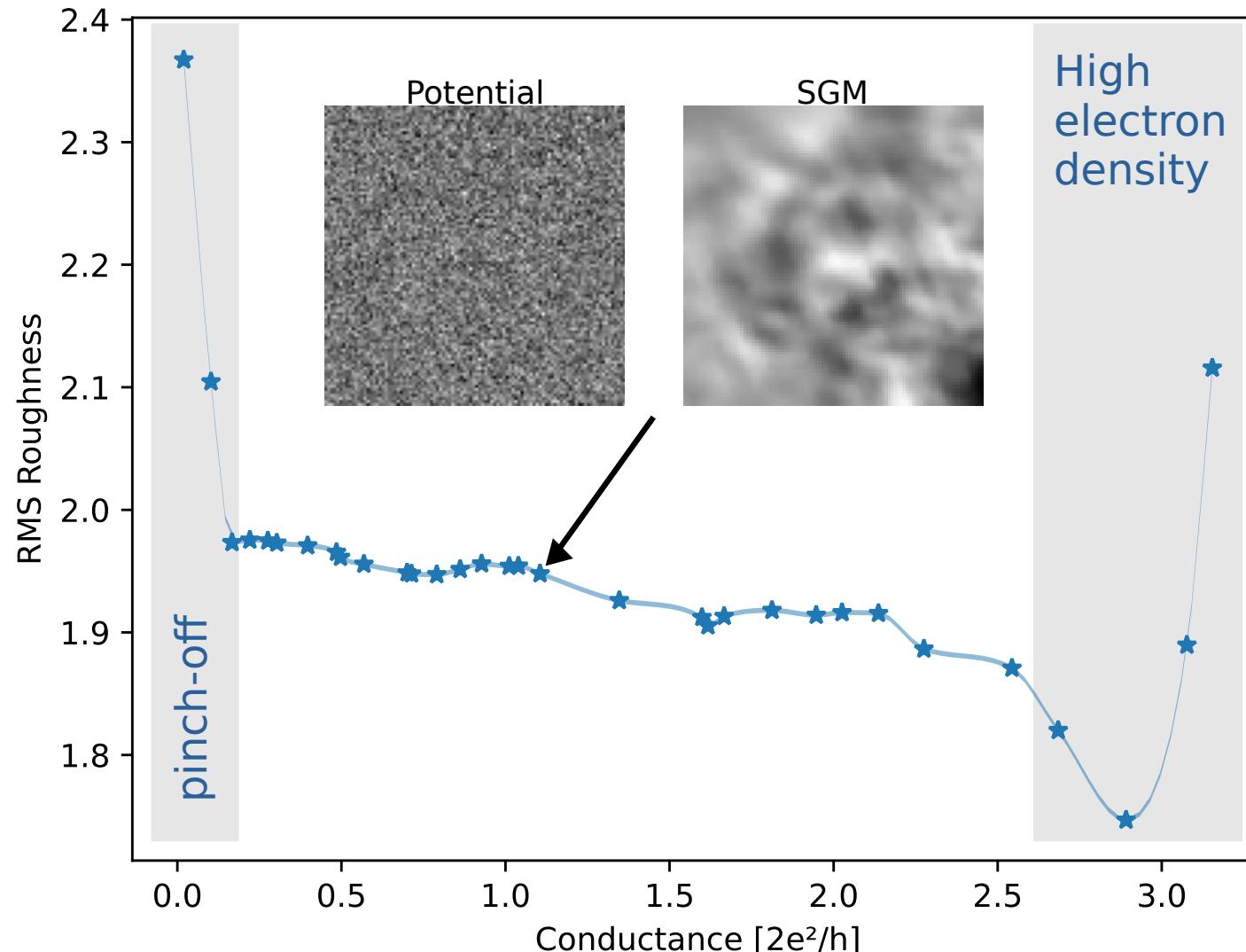


Experimental  
(expected)

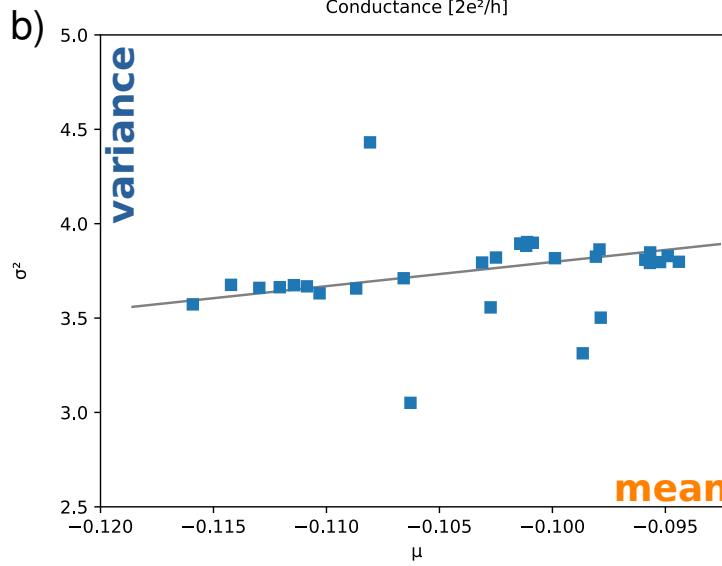
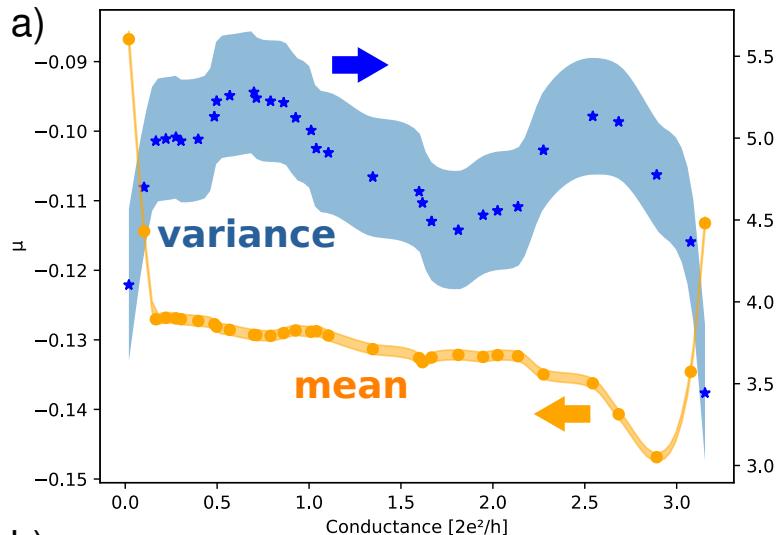
Generated  
(winner)

C. R. da Cunha, et al. An investigation of the background potential in quantum constrictions using scanning gate microscopy and a swarming algorithm, *Physica A* **614** (2023) 128550.

# Potential Roughness

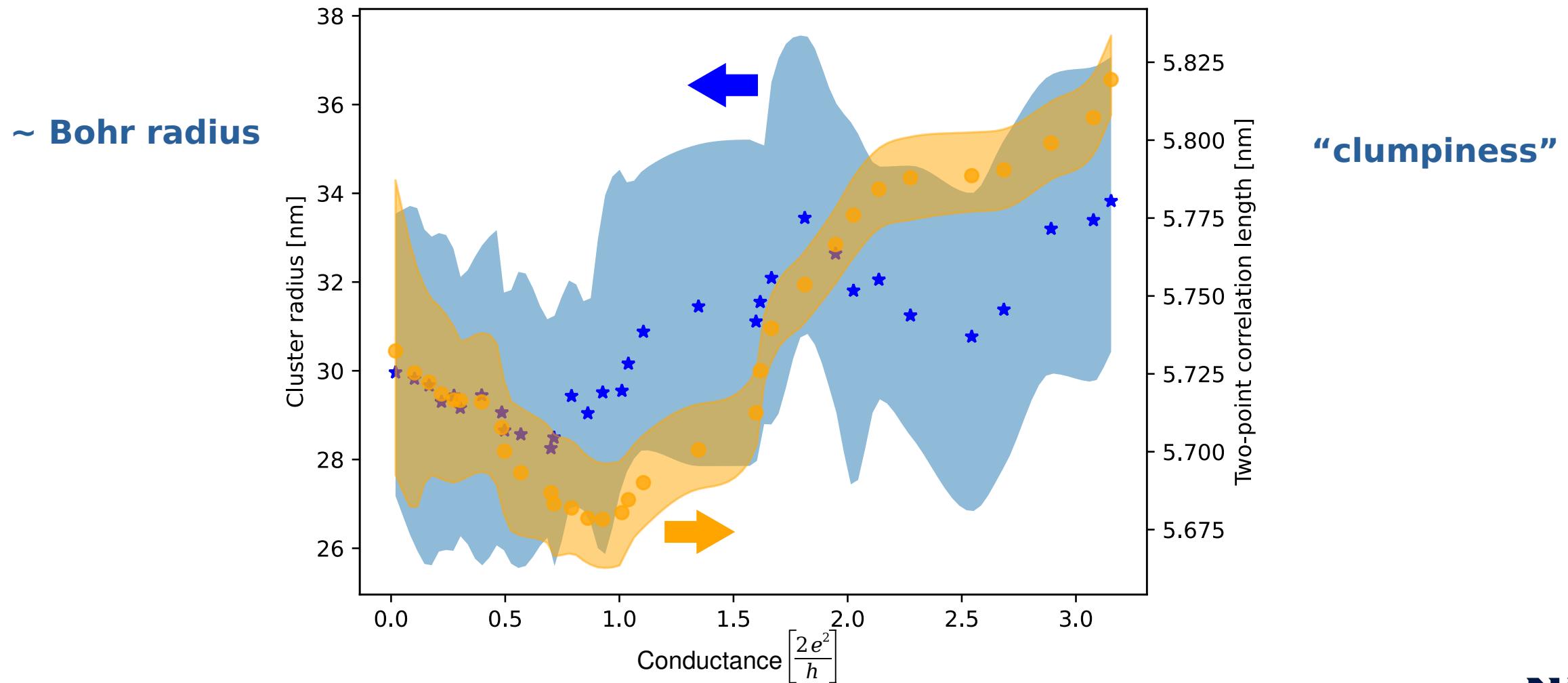


# Potential Distribution

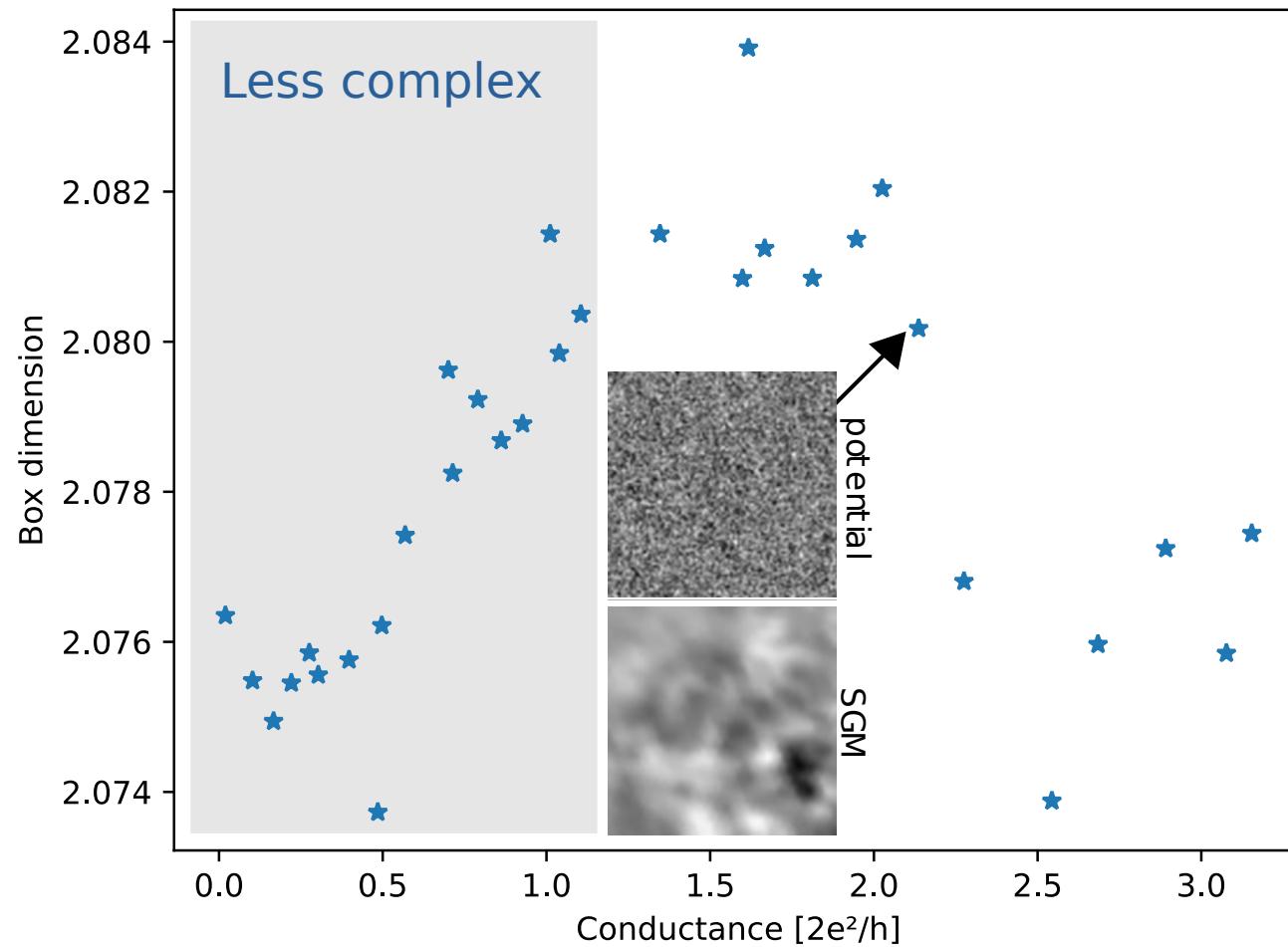


No fluctuation scaling.  
Equally important points.

# Two-Point Correlation Function

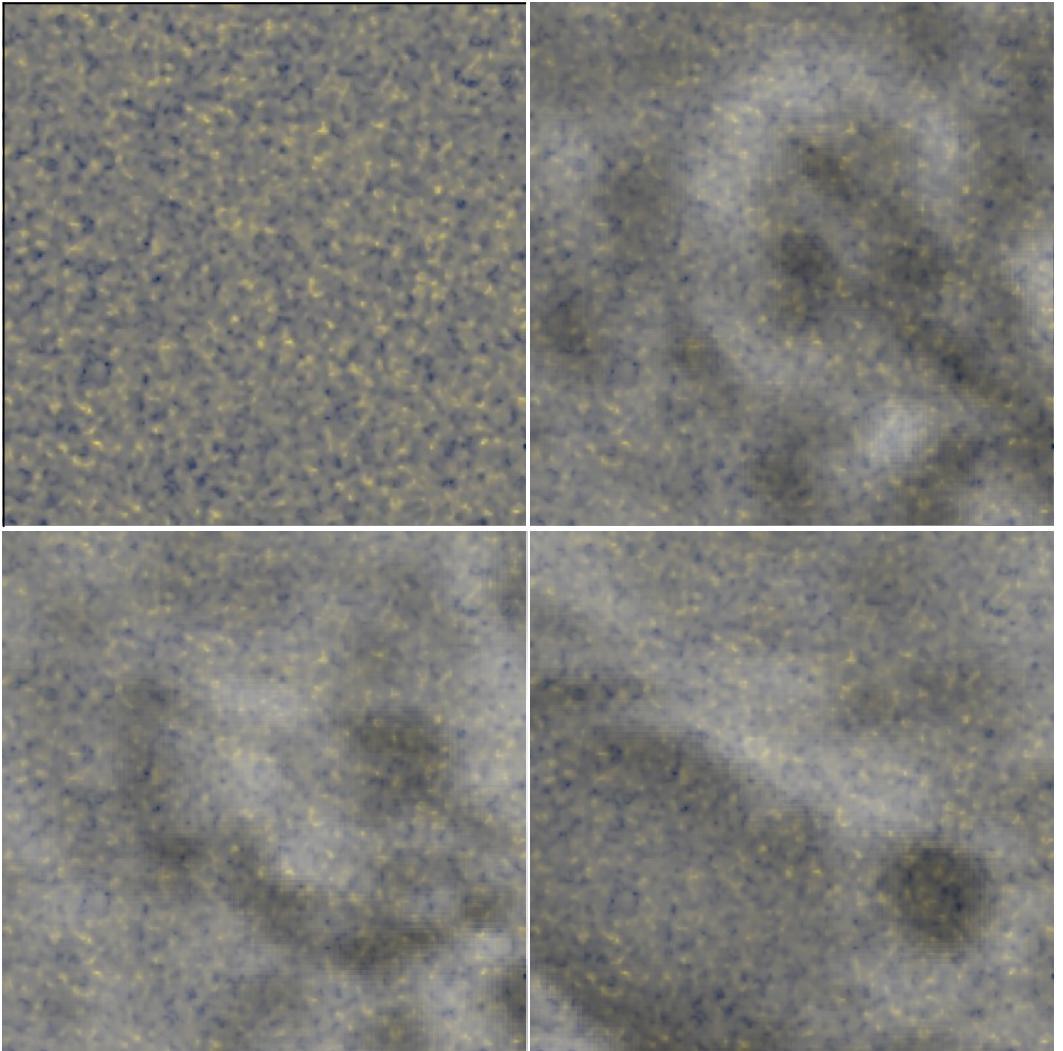


# Box Dimension



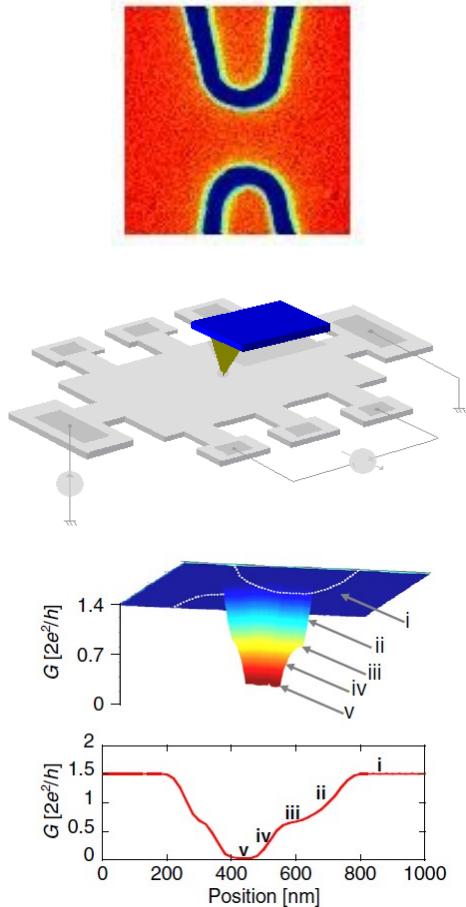
# Static Alloy Potential

$$U_{ij}^* = \frac{\langle U_{ij} \rangle}{\sigma_{ij}}$$



SGM images seem to be influenced by modes supported by the potential.

# Conclusions



## Quantum Point Contacts

- Case study: Disorder QPC
- SGM: Not simple interpretation
- Standard convolution layers not adequate
- Cellular neural networks are more adequate
- Swarming algorithm gets closer to reality ( $> 72\%$ )
- Rough potentials influence images at small base conductance
- All points in the disordered potential are equally important
- SGM images seem to be influenced by modes of the potential

## Machine learning

Useful tool for inverse problems (if properly used)  
Inverse design of new devices and materials!

# Thank You



C.E.S  
...  
...

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**Northern Arizona University**  
Flagstaff, AZ

*"Nullius addictus iurare in verba magistri, quo me cumque rapit tempestas, deferor hospes."*  
H. Flaccus